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Patent
Case No.: 59093US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: YANG, LIZHANG
Application No.: 10/687329 Group Art Unit: 2839
Filed: October 16, 2003 Examiner: Le, Thanh Tam T.
Title: OPTICAL INTERCONNECT DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

☒ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

Date

Signed by: Gregg H. Rosenblatt

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any U.S. patents and published U.S. patent applications are not enclosed.

A Request for Continued Examination under 37 CFR § 1.114 is being filed concurrently, thus it is believed that no fee is due for this Information Disclosure Statement.

Respectfully submitted,

Date

By:

Gregg H. Rosenblatt, Reg. No.: 45,056
Telephone No.: 512-984-7443

Office of Intellectual Property Counsel
3M Innovative Properties Company
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets if necessary) Page 1 of 1	Application Number	10/687329
	Filing Date	October 16, 2003
	First Named Inventor	Yang, Lizhang
	Art Unit	2839
	Examiner Name	Le, Thanh Tam T.
	Attorney Case Number	59093US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	A1	US- 5,367,595	11-22-1994	Jennings et al	
	A2	US- 5,915,055	06-22-1999	Bennett et al	
	A3	US- 6,072,932	06-06-2000	Bennett et al	
	A4	US- 6,421,493 B1	07-16-2002	Burek et al	
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
	B1					
	B2					
	B3					
	B4					
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	B6					
	B7					

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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	C2	
	C3	

*Examiner:	Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Information Disclosure Statement — PTO-1449 (Modified)